

BSE LTD
ACKNOWLEDGEMENT

Acknowledgement No	: 1404201711230727	Date & Time	: 14/04/2017 11:23:07 AM
Scrip Code	: 531686		
Entity Name	: Advik Laboratories Limited		
Compliance Type	: Regulation 27(2)- Corporate Governance		
Quarter / Period	: 31/03/2017		
Mode	: E-Filing		



General information about company	
Scrip code	531686
Name of the entity	Advik Laboratories Limited
Date of start of financial year	01-04-2016
Date of end of financial year	31-03-2017
Reporting Quarter	Yearly
Date of Report	31-03-2017
Risk management committee	Not Applicable



Annexure I														
Annexure I to be submitted by listed entity on quarterly basis														
I. Composition of Board of Directors														
Disclosure of notes on composition of board of directors explanatory												Textual Information(1)		
Is there any change in information of board of directors compare to previous quarter												Yes		
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of appointment in the current term	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes
1	Mr	PEEYUSH KUMAR AGGARWAL	AACPA6470C	00090423	Non-Executive - Non Independent Director	Chairperson		27-09-2013			3	2	1	Textual Information (2)
2	Mr	SACHIN GARG	AJGPG9009C	03320351	Executive Director	Not Applicable	MD	30-09-2014			1	2	0	Textual Information (3)
3	Mr	MANOJ KUMAR JAIN	AGHPJ0168K	02573858	Non-Executive - Independent Director	Not Applicable		30-09-2014		60	3	1	5	Textual Information (4)
4	Ms	MADHU SHARMA	BSYPS5514G	06947852	Non-Executive - Independent Director	Not Applicable		31-01-2017		60	6	4	2	Textual Information (5)



Annexure I														
Annexure I to be submitted by listed entity on quarterly basis														
I. Composition of Board of Directors														
Sr	Title (Mr/ Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of appointment in the current term	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes
5	Mr	KAMAL KISHORE SHARMA	BPBPS4737P	02039980	Non-Executive - Independent Director	Not Applicable		30-09-2014	04-02-2017	60	0	0	0	Textual Information (6)



Text Block	
Textual Information(1)	Ms. Madhu Sharma was appointed as the Non Executive Director of the Company w.e.f. 30-09-2014. During the quarter under review, she resigned from the Board of Directors w.e.f. 24-01-2017. However, after mutual consultation with the Board, she again accepted to become an Independent Director of the Company as per the provisions of Section 149 of the Companies Act, 2013 and SEBI (LODR) Regulations, 2015 as she satisfies the criteria of Independence mentioned therein. Therefore, she was appointed as a Non Executive Independent Director of the Company w.e.f. 31-01-2017 for a term of 5 years.
Textual Information(2)	DATE OF APPOINTMENT IN CURRENT TERM: 27.09.2013 ORIGINAL DATE OF APPOINTMENT: 31.07.2013 NUMBER OF MEMBERSHIP IN AUDIT COMMITTEE: 2 NUMBER OF MEMBERSHIP IN STAKEHOLDER COMMITTEE: NIL NUMBER OF CHAIRPERSON IN AUDIT COMMITTEE: NIL NUMBER OF CHAIRPERSON IN STAKEHOLDER COMMITTEE: 1
Textual Information(3)	DATE OF APPOINTMENT IN CURRENT TERM: 30.09.2014 ORIGINAL DATE OF APPOINTMENT: 31.07.2013 NUMBER OF MEMBERSHIP IN AUDIT COMMITTEE: 1 NUMBER OF MEMBERSHIP IN STAKEHOLDER COMMITTEE: 1 NUMBER OF CHAIRPERSON IN AUDIT COMMITTEE: NIL NUMBER OF CHAIRPERSON IN STAKEHOLDER COMMITTEE: NIL
Textual Information(4)	DATE OF APPOINTMENT IN CURRENT TERM: 30.09.2014 ORIGINAL DATE OF APPOINTMENT: 26.12.2013 NUMBER OF MEMBERSHIP IN AUDIT COMMITTEE: NIL NUMBER OF MEMBERSHIP IN STAKEHOLDER COMMITTEE: 1 NUMBER OF CHAIRPERSON IN AUDIT COMMITTEE: 3 NUMBER OF CHAIRPERSON IN STAKEHOLDER COMMITTEE: 2
Textual Information(5)	DATE OF APPOINTMENT IN CURRENT TERM: 31.01.2017 NUMBER OF MEMBERSHIP IN AUDIT COMMITTEE: 3 NUMBER OF MEMBERSHIP IN STAKEHOLDER COMMITTEE: 1 NUMBER OF CHAIRPERSON IN AUDIT COMMITTEE: 1 NUMBER OF CHAIRPERSON IN STAKEHOLDER COMMITTEE: 1
Textual Information(6)	Mr. Kamal Kishore Sharma was designated as an Independent Director of the company w.e.f. 30.09.2014 for a tenure of 5 years. i.e., sixty months. He resigned from the Directorship of the company w.e.f. 04.02.2017 thereby completing the total tenure of 28 months only.



Annexure 1					
II. Composition of Committees					
Disclosure of notes on composition of committees explanatory					Textual Information(1)
Is there any change in information of committees compare to previous quarter					Yes
Sr	Name Of Committee	Name of Committee members	Category 1 of directors	Category 2 of directors	Name of other committee
1	Audit Committee	Mr. Manoj Kumar Jain	Non-Executive - Independent Director	Chairperson	
2	Audit Committee	Mr. Sachin Garg	Executive Director	Member	
3	Audit Committee	Ms. Madhu Sharma	Non-Executive - Independent Director	Member	
4	Nomination and remuneration committee	Mr. Manoj Kumar Jain	Non-Executive - Independent Director	Chairperson	
5	Nomination and remuneration committee	Mr. Peeyush Kumar Aggarwal	Non-Executive - Non Independent Director	Member	
6	Nomination and remuneration committee	Ms. Madhu Sharma	Non-Executive - Independent Director	Member	
7	Stakeholders Relationship Committee	Mr. Manoj Kumar Jain	Non-Executive - Independent Director	Chairperson	
8	Stakeholders Relationship Committee	Mr. Sachin Garg	Executive Director	Member	



Text Block	
Textual Information(1)	The Committees wherein Mr. Kamal Kishore Sharma was a member were reconstituted by the Board of Directors due to his resignation from the Board of Directors of the Company w.e.f. 04-02-2017.



Annexure 1			
Annexure 1			
III. Meeting of Board of Directors			
Disclosure of notes on meeting of board of directors explanatory			Textual Information(1)
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)
1	03-11-2016		
2	14-11-2016		10
3		24-01-2017	70
4		31-01-2017	6
5		04-02-2017	3
6		14-02-2017	9



Text Block	
Textual Information(1)	A meeting of the Independent Directors of the Company was held on 14-02-2017.



Annexure 1							
IV. Meeting of Committees							
Disclosure of notes on meeting of committees explanatory						Textual Information(1)	
Sr	Name of Committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings (in number of days)	Name of other committee
1	Audit Committee		Yes	Members present : 1. Mr. Kamal Kishore Sharma (Chairman) 2. Mr. Manoj Kumar Jain (Member) 3. Mr. Sachin Garg (Member)	14-11-2016		
2	Audit Committee	14-02-2017	Yes	Members present : 1. Mr. Manoj Kumar Jain (Chairman) 2. Mr. Sachin Garg (Member) 3. Ms. Madhu Sharma (Member)		91	
3	Nomination and remuneration committee	31-01-2017	Yes	Members present : 1. Mr. Manoj Kumar Jain (Chairman) 2. Mr. Peeyush Kumar Aggarwal (Member) 3. Ms. Madhu Sharma (Member)			
4	Nomination and remuneration committee	14-02-2017	Yes	Members present : 1. Mr. Manoj Kumar Jain (Chairman) 2. Mr. Peeyush Kumar Aggarwal (Member) 3. Ms. Madhu Sharma (Member)		13	
5	Stakeholders Relationship Committee	14-02-2017	Yes	Members present : 1. Mr. Manoj Kumar Jain (Chairman) 2. Mr. Sachin Garg (Member)			



Text Block	
Textual Information(1)	The Committees wherein Mr. Kamal Kishore Sharma was a member were reconstituted by the Board of Directors due to his resignation from the Board of Directors of the Company w.e.f. 04-02-2017.



Annexure 1			
V. Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	Yes	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	



Annexure 1		
VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 100 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes



Annexure II				
Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)				
I. Disclosure on website in terms of Listing Regulations				
Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
1	Details of business	Yes		http://www.advikindia.com/about.htm
2	Terms and conditions of appointment of independent directors	Yes		http://www.advikindia.com/investor.htm
3	Composition of various committees of board of directors	Yes		http://www.advikindia.com/index.htm
4	Code of conduct of board of directors and senior management personnel	Yes		http://www.advikindia.com/investor.htm
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		http://www.advikindia.com/investor.htm
6	Criteria of making payments to non-executive directors	Yes		http://www.advikindia.com/investor.htm
7	Policy on dealing with related party transactions	Yes		http://www.advikindia.com/investor.htm
8	Policy for determining 'material' subsidiaries	NA		
9	Details of familiarization programmes imparted to independent directors	Yes		http://www.advikindia.com/investor.htm



Annexure II				
Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)				
I. Disclosure on website in terms of Listing Regulations				
Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
10	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes		http://www.advikindia.com/investor.htm
11	email address for grievance redressal and other relevant details	Yes		http://www.advikindia.com/investor.htm
12	Financial results	Yes		http://www.advikindia.com/investor.htm
13	Shareholding pattern	Yes		http://www.advikindia.com/investor.htm
14	Details of agreements entered into with the media companies and/or their associates	NA		
15	New name and the old name of the listed entity	NA		



Annexure II			
II. Annual Affirmations			
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA) If status is "No" details of non-compliance may be given here.
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b) & 25 (6)	Yes
2	Board composition	17(1)	Yes
3	Meeting of Board of directors	17(2)	Yes
4	Review of Compliance Reports	17(3)	Yes
5	Plans for orderly succession for appointments	17(4)	Yes
6	Code of Conduct	17(5)	Yes
7	Fees/compensation	17(6)	NA
8	Minimum Information	17(7)	Yes
9	Compliance Certificate	17(8)	Yes
10	Risk Assessment & Management	17(9)	Yes



Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
11	Performance Evaluation of Independent Directors	17(10)	Yes	
12	Composition of Audit Committee	18(1)	Yes	
13	Meeting of Audit Committee	18(2)	Yes	
14	Composition of nomination & remuneration committee	19(1) & (2)	Yes	
15	Composition of Stakeholder Relationship Committee	20(1) & (2)	Yes	
16	Composition and role of risk management committee	21(1),(2),(3),(4)	NA	
17	Vigil Mechanism	22	Yes	
18	Policy for related party Transaction	23(1),(5),(6),(7) & (8)	Yes	
19	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes	
20	Approval for material related party transactions	23(4)	Yes	



Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
21	Composition of Board of Directors of unlisted material Subsidiary	24(1)	NA	
22	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4), (5) & (6)	NA	
23	Maximum Directorship & Tenure	25(1) & (2)	Yes	
24	Meeting of independent directors	25(3) & (4)	Yes	
25	Familiarization of independent directors	25(7)	Yes	
26	Memberships in Committees	26(1)	Yes	
27	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
28	Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes	
29	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	
	Any other information to be provided		Textual Information(1)	



Text Block	
Textual Information(1)	<p>1. At present the Company is not paying any Fees/composition to its Non Executive Directors. The company will take the prior approval of shareholders before paying any such Fees/compensation to its Non Executive Director.</p> <p>2. Constitution of Risk Management Committee is not applicable to the company. However, the Company has constituted the Risk Management committee voluntarily.</p>



Annexure II		
III. Affirmations		
Sr	Particulars	Compliance status (Yes/No/NA)
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	NA
	Any other information to be provided	



Signatory Details	
Name of signatory	Pooja Chuni
Designation of person	Company Secretary
Place	New Delhi
Date	14-04-2017

